

*This brochure supplement provides information about David Kenneth Hicok that supplements the ProCore Advisors, LLC brochure. You should have received a copy of that brochure. Please contact David Kenneth Hicok if you did not receive ProCore Advisors, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about David Kenneth Hicok is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **ProCore Advisors, LLC**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **David Kenneth Hicok**

Personal CRD Number: 4051459

Investment Adviser Representative

ProCore Advisors, LLC  
1101 Dove Street Suite 100  
Newport Beach, CA 92660  
(949) 339-2990  
[david@procoreadvisors.com](mailto:david@procoreadvisors.com)

UPDATED: 06/23/2017

## **Item 2. Educational Background and Business Experience**

**Name:** David Kenneth Hicok, born:1963

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor of Science Business, University of Redlands - 2013

#### **Designations:**

##### **ChFC®- Chartered Financial Consultant®**

##### **ChFC® MINIMUM QUALIFICATIONS:**

- Bachelor's degree or its equivalent, in any discipline, from an accredited university, this qualifies as one year of business experience
- Three years of full-time business experience is required; this three-year period must be within the five years preceding the date of the award (part-time qualifying business experience is also credited toward the three-year requirement with 2,000 hours representing the equivalent of one year full-time experience).
- Must fulfill the ChFC® seven course curriculum, as well as two additional elective courses
- Pass the exams for all required and elective courses
- Pass a background check and candidate fitness standards test. You must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

#### **Business Background:**

06/2017 - Present	Member & Investment Adviser Representative ProCore Advisors, LLC
05/2013 - Present	Investment Adviser Representative Partnervest Advisory Services, LLC
08/2013 - 01/2015	Registered Representative Keystone Capital Corporation

08/2011 - 05/2013	Investment Adviser Representative MetLife Securities Inc.
08/2011 - 05/2013	Registered Representative MetLife Securities Inc.
05/2011 – 07/2011	Unemployed
09/2002 - 04/2011	Investment Adviser Representative Lincoln Financial Advisors Corporation
09/2002 - 04/2011	Registered Representative Lincoln Financial Advisors Corporation
10/2002 - 05/2006	Registered Representative Lincoln National Life Insurance Company

### **Item 3. Disciplinary Information**

David Hicok was subject of a tax lien in the amount of \$50,882.00 in 02/2005. The Lien is currently outstanding. Payments have been made consistently since and this agreement is under review by the IRS since 01/2013.

### **Item 4. Other business Activities**

David Kenneth Hicok is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. ProCore Advisors, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of ProCore Advisors, LLC in their capacity as a licensed insurance agent. David Hicok provides insurance services via a DBA Hicok Financial Solutions. David Hicok participates in marketing activities via Hicok Financial Solutions, DBA.

### **Item 5. Additional Compensation**

David Kenneth Hicok does not receive any economic benefit from any person, company, or organization, other than ProCore Advisors, LLC in exchange for providing clients advisory services through ProCore Advisors, LLC.

## **Item 6. Supervision**

As a representative of ProCore Advisors, LLC, David Kenneth Hicok is supervised by Keythe Ward-Aguilar, the firm's Chief Compliance Officer. Keythe Ward-Aguilar is responsible for ensuring that David Kenneth Hicok adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Keythe Ward-Aguilar is (949) 339-2990.

## **Item 7. Items Required for State Registered Advisors**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. David Kenneth Hicok has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. David Kenneth Hicok has NOT been the subject of a bankruptcy.